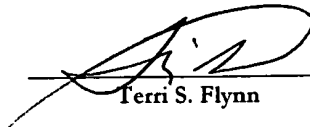




ifw

I hereby certify that this correspondence is being deposited with the United States Postal Services on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Signature
and Deposit: 1/2/07


Terri S. Flynn

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 10/631,239
Applicants: Gregory W. Smiley, *et al.*
Filed: July 31, 2003
Title: MATERIAL HANDLING VEHICLE WITH DUAL CONTROL HANDLE
SYSTEM
TC/A.U.: 3616
Examiner: David R. Dunn
Docket No.: 780139.00019

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ A list of documents on form PTO-1449 together with copies of all identified foreign patent documents and non-patent literature;
- ☐ A translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 37 CFR 1.97(b) (within 3 months of filing or prior to mailing of 1st Office Action)
- ☒ 37 CFR 1.97(c) (before Final Office Action or Notice of Allowance); and
 - ☐ The required certification made under the heading "Certification" below; or
 - ☒ A check for the \$180 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is submitted herewith. Payment for additional fees is authorized under the heading "Authorization to Charge Fees" below.

01/10/2007 JBALINAM 00000035 10631239

01 FC:1806

180.00 OP

- ☐ 37 CFR 1.97(d) (before issue fee payment); and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$_____ required by 37 CFR 1.17(i)(1) is authorized under the heading "Authorization to Charge Fees". (Direct this letter to "Attention Petitions Examiner" and if applicable include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and
- b) The required Certification is stated under the heading "Certification" below.

☐ Certification

- ☐ Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ No item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ Authorization to Charge Fees

Please charge any additional fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 17-0055.

Respectfully submitted,

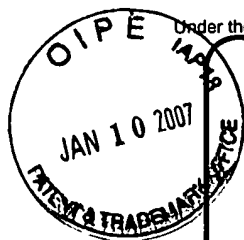
Gregory W. Smiley, *et al.*

Dated Jan. 2, 2007

By: 

Terri S. Flynn
Reg. No. 41,756
Attorney for Applicant
Quarles & Brady LLP
411 E. Wisconsin Avenue
Milwaukee WI 53202-4497
(414) 277-5229

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

Complete if Known

Application Number	10/631,239
Filing Date	July 31, 2003
First Named Inventor	Gregory W. Smiley
Group Art Unit	3616
Examiner Name	David R. Dunn
Attorney Docket Number	780139.00019

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

Please type a plus sign (+) inside this box → +

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<div style="display: flex; justify-content: space-between;"><div><p>Substitute for form 1449B/PTO</p><p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p><p><i>(use as many sheets as necessary)</i></p></div><div style="border: 1px solid black; padding: 5px;"><p style="text-align: center; margin: 0;">Complete if Known</p><table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 40%;">Application Number</td><td>10/631,239</td></tr><tr><td>Filing Date</td><td>July 31, 2003</td></tr><tr><td>First Named Inventor</td><td>Gregory W. Smiley</td></tr><tr><td>Group Art Unit</td><td>3616</td></tr><tr><td>Examiner Name</td><td>David R. Dunn</td></tr><tr><td>Attorney Docket Number</td><td>780139.00019</td></tr></table></div></div>				Application Number	10/631,239	Filing Date	July 31, 2003	First Named Inventor	Gregory W. Smiley	Group Art Unit	3616	Examiner Name	David R. Dunn	Attorney Docket Number	780139.00019
Application Number	10/631,239														
Filing Date	July 31, 2003														
First Named Inventor	Gregory W. Smiley														
Group Art Unit	3616														
Examiner Name	David R. Dunn														
Attorney Docket Number	780139.00019														
Sheet	2	of	2												

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ²	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Modern Materials Handling, February 1992 issue, pg. 43, Reed Elsevier Group PLC, New York, New York.	
		BT Industries AB, product information disclosure, 3 pages, "BT REFLEX Compact Reach Truck with Narrow Chassis," Mjölby, Sweden.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.